

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 96 pages) of:

Inventor(s): **Margret Maria Sauter and René Lorbiecke**

For : **ALTERATION OF GROWTH AND ADAPTATION  
UNDER HYPOXIC CONDITIONS**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No.

Prior application information: Examiner :  
Art Unit :

Enclosed are:

- ☒ 10 sheets of informal drawings.
- ☐ Signed Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☐ Copy of signed Combined Declaration and Power of Attorney (\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ Signed statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

- ☐ Preliminary Amendment (\_\_\_\_\_ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (\_\_\_\_\_ pages) and \_\_\_\_\_ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

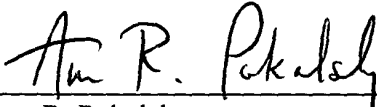
The Filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
TOTAL CLAIMS	49 - 20 =	29	x 9 =	\$261	OR	x 18 =	\$
INDEP CLAIMS	20 - 3 =	17	x 40 =	\$680	OR	x 80 =	\$
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x 135 =	\$135	OR	x270 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$1,431	OR	TOTAL	\$

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$1,431.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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Date: February 16, 2001

  
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J1036 U.S. PTO  
09/785738  
02/16/01

**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : **2283/201**

APPLICANT(S) : **Margret Maria Sauter and René Lorbiecke**

TITLE : **ALTERATION OF GROWTH AND ADAPTATION UNDER  
HYPOXIC CONDITIONS**

Certificate is attached to the **Unsigned Combined Declaration and Power of Attorney (2 pages)** of the above-named application.

“EXPRESS MAIL” NUMBER: **EE681709765US**

DATE OF DEPOSIT: **February 16, 2001**

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Ann R. Pokalsky

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*Ann R. Pokalsky*

(Signature of person mailing paper or fee)

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09/785738  
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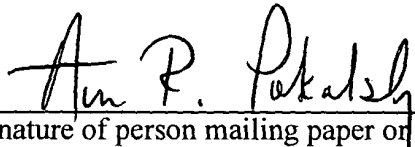
DOCKET NO. : 2283/201  
APPLICANT(S) : Margret Maria Sauter and René Lorbiecke  
TITLE : ALTERATION OF GROWTH AND ADAPTATION UNDER  
HYPOXIC CONDITIONS

Certificate is attached to the **Utility Patent Application Transmittal Form (2 pages) in duplicate** of the above-named application.

“EXPRESS MAIL” NUMBER: EE681709765US  
DATE OF DEPOSIT: February 16, 2001

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**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : **2283/201**

APPLICANT(S) : **Margret Maria Sauter and René Lorbiecke**

TITLE : **ALTERATION OF GROWTH AND ADAPTATION UNDER  
HYPOXIC CONDITIONS**

Certificate is attached to the **Informal Drawings (10 pages)** of the  
above-named application.

“EXPRESS MAIL” NUMBER: **EE681709765US**

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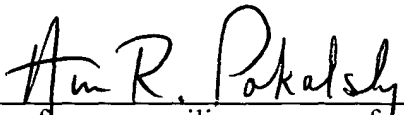
Certificate is attached to the **Utility Patent Application which includes specification, claims, paper copy of Sequence Listing and abstract (96 pages)** of the above-named application.

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